

Business Information and Director/Beneficial Owner Declaration Form 商業資料及董事 / 實益擁有人證明書表格

Note 注意 : 1. *Please complete all sections and delete whichever is not appropriate. 請填寫所有欄目及刪除不適用者。
 2. Please complete in **Block Letters** and tick where applicable. 請用正楷填寫, 並在適當的地方加上剔號。
 3. If there is more than 4 directors/beneficial owners/principal shareholders/authorised signatories in section C to F, please provide the details in an additional sheet. 如在 C - F 部分的董事 / 實益擁有人 / 主要股東 / 授權簽署人的人數多過四人, 請附加紙張提供資料。

A. Company Information 公司資料

Company Name 公司名稱	
Business Identification Document Number 商業證明文件號碼	(e.g. Certificate of Incorporation Number 如: 公司註冊證書號碼)
Country of Registration 註冊國家	
^ Business Address 營業地址	
Business Address Proof 營業地址證明	<input type="checkbox"/> Yes 有 <input type="checkbox"/> No 沒有 - In case of no business address proof, please specify the reasons: 如未能提供營業地址證明, 請列明原因: <input type="checkbox"/> Investment holding. No actual business operation 業務為投資控股, 並無實際營運 <input type="checkbox"/> Other, please specify: 其他, 請註明:
^ Registered Office Address in the Place of Incorporation 註冊公司地址 (於註冊國家)	
Type of Issued Shares (for Limited Company only) 已發行股票類別 (只適用於有限公司)	<input type="checkbox"/> Registered Shares 記名 <input type="checkbox"/> Bearer Shares Deposited with Custodian 不記名 (存放於認可 / 註冊保管人) <input type="checkbox"/> Bearer Shares not Deposited with Custodian 不記名 (沒有存放於認可 / 註冊保管人) Note 注意 : If bearer shares have been issued, please complete the Bearer Shares Declaration. 如貴公司已發行不記名股票, 請填妥不記名股票申報書。
Nature of Business/ Industry 商業 / 行業性質	Industry 行業 : (%) Industry 行業 : (%)
Nature of Products/ Services Offered 所提供的商品或服務	(e.g. aluminium trading, residential rental services, management consulting, stock investments 如: 鋁的交易, 住宅租賃服務, 管理顧問, 投資股票)
Customer's Geographic Area of Operation/Trade 操作 / 貿易客戶的地理區域	Country Where Head Office is Located 總公司所在地
	Sales Location(s) (e.g. from China to USA) 銷售地區 (如: 由中國出口到美國)
	Country Where Major Business is Carried Out (e.g. country where the majority of the business is performed) 主要業務所在地 (如: 主要執行業務的所在國家)
	Country of Source of Funds 資金來源國家
Note 注意	^ If there has been a change in the Business / Registered Office / Correspondence Address, please complete the Bank's "Change of Address and/or Customer Information Instructions Form (For Non-personal Customer)". 如更改營業地址 / 註冊公司地址 / 通訊地址, 請填妥本行的「更改地址及 / 或客戶資料指示表格 (非個人客戶)」。

B. Account Information and Anticipated Activity 戶口資料及預計活動

Purpose(s) of Maintaining the Account(s) 保留戶口原因	<input type="checkbox"/> Savings/Fixed Deposit 儲蓄 / 定期存款 <input type="checkbox"/> Loan Repayment 償還貸款 <input type="checkbox"/> Investment 投資 <input type="checkbox"/> Intercompany Settlement 處理公司之間的賬項 <input type="checkbox"/> Other 其他：												
Expected Source and Origin of Funds Passing through the Account(s) 預計戶口的資金來源及由來	<input type="checkbox"/> From Business Owner 來自東主 <input type="checkbox"/> Return on Investment 投資收益 <input type="checkbox"/> Sale Proceeds 銷售收益 <input type="checkbox"/> Other, please specify: 其他，請註明：												
Anticipated Level of Activity 預計戶口活動	(Account Turnover and Number of Transactions per Year 每年戶口來往金額及交易次數)												
	<table border="1"> <thead> <tr> <th>Currency 貨幣</th> <th>Amount 金額</th> <th>No. of Transactions 交易次數</th> </tr> </thead> <tbody> <tr> <td> </td> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> <td> </td> </tr> </tbody> </table>	Currency 貨幣	Amount 金額	No. of Transactions 交易次數									
	Currency 貨幣	Amount 金額	No. of Transactions 交易次數										
Nature of Activities 戶口活動性質	(e.g. Telegraphic Transfers, Cash Deposit/Withdrawal, Interbank Transfers, Foreign Currencies Exchange, Local/Overseas Cheques, Line of Credit 如：電匯，現金存款 / 提款，跨行轉賬，外幣兌換，本地 / 海外支票往來，信貸額度)												
Counterparties (for which funds transfer through the account) 與戶口的資金往來有關的對方機構	Type [e.g. buyers, sellers, bankers (for investment holding account), inter-companies, etc] 類別 [例如：買家、賣家、銀行 (投資控股戶口)、關聯企業等]												
	(Country 國家：)												
	(Country 國家：)												
	(Country 國家：)												
	(Country 國家：)												

C. Details of Current Directors/Partners/Sole Proprietor 現任董事 / 合夥人 / 獨資經營者資料

Note 注意	✂ If there has been any change in the director(s) from that of the records held with the Bank, please provide a certified true copy of the relevant board resolution supporting the change, together with such other supporting documentation. 如更改於本行戶口紀錄的董事，請提供董事會決議及相關證明文件的真確副本。		
1. Full Name 全名			
Capacity of Connected Individual 有關人士類別	<input type="checkbox"/> Sole Proprietor 獨資經營者 <input type="checkbox"/> Partner 合夥人 <input type="checkbox"/> Director 董事 <input type="checkbox"/> Authorised Signatory 授權簽署人		
Identification Document Type 身分證明文件種類	<input type="checkbox"/> HK Identity Card (Permanent 香港身分證 (永久 <input type="checkbox"/> Passport 護照 <input type="checkbox"/> Other: 其他：	<input type="checkbox"/> Yes 是 <input type="checkbox"/> No 否	Identification Document No. 身分證明文件號碼
Date of Birth 出生日期	<input type="text"/> / <input type="text"/> / <input type="text"/> Day 日 Month 月 Year 年	Date of Appointment 委任日期	<input type="text"/> / <input type="text"/> / <input type="text"/> Day 日 Month 月 Year 年
Business Background 行業背景	(e.g. Years of current and previous employment or experience, for at least 2 directors exclude non-executive director. Please specify type of business/industry and title) (如：當前和以前的就業年資或經驗，請提供最少兩名董事資料，但不包括非執行董事。請列明公司的商業 / 行業性質和職銜)		
Complete and tick confirming documents of proof enclosed (for individuals only) 在已附上的證明文件旁邊加剔號並填寫有關資料 (只適用於個人)	<input type="checkbox"/> Nationality 國籍 <input type="text"/> <input type="checkbox"/> Residential Address 住宅地址 <input type="text"/> <input type="checkbox"/> Permanent Address (if different from residential address) 永久住址 (如與住宅地址不同) <input type="text"/>		

C. Details of Current Directors/Partners/Sole Proprietor (Continued)

現任董事 / 合夥人 / 獨資經營者資料 (續)

<p>Note 注意</p>	<p>☞ If there has been any change in the director(s) from that of the records held with the Bank, please provide a certified true copy of the relevant board resolution supporting the change, together with such other supporting documentation. 如更改於本行戶口紀錄的董事, 請提供董事會決議及相關證明文件的真確副本。</p>		
<p>2. Full Name 全名</p>			
<p>Capacity of Connected Individual 有關人士類別</p>	<p><input type="checkbox"/> Sole Proprietor 獨資經營者 <input type="checkbox"/> Partner 合夥人 <input type="checkbox"/> Director 董事 <input type="checkbox"/> Authorised Signatory 授權簽署人</p>		
<p>Identification Document Type 身分證明文件種類</p>	<p><input type="checkbox"/> HK Identity Card (Permanent 香港身分證 (永久 <input type="checkbox"/> Yes 是 <input type="checkbox"/> No 否) <input type="checkbox"/> Passport 護照 <input type="checkbox"/> Other: 其他:</p>	<p>Identification Document No. 身分證明文件號碼</p>	
<p>Date of Birth 出生日期</p>	<p>_____ _____ _____ _____ _____ _____ Day 日 Month 月 Year 年</p>	<p>Date of Appointment 委任日期</p>	<p>_____ _____ _____ _____ _____ _____ Day 日 Month 月 Year 年</p>
<p>Business Background 行業背景</p>	<p>(e.g. Years of current and previous employment or experience, for at least 2 directors exclude non-executive director. Please specify type of business/industry and title) (如: 當前和以前的就業年資或經驗, 請提供最少兩名董事資料, 但不包括非執行董事。請列明公司的商業 / 行業性質和職銜)</p>		
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<p>3. Full Name 全名</p>			
<p>Capacity of Connected Individual 有關人士類別</p>	<p><input type="checkbox"/> Sole Proprietor 獨資經營者 <input type="checkbox"/> Partner 合夥人 <input type="checkbox"/> Director 董事 <input type="checkbox"/> Authorised Signatory 授權簽署人</p>		
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C. Details of Current Directors/Partners/Sole Proprietor (Continued)

現任董事 / 合夥人 / 獨資經營者資料 (續)

Note 注意	⌘ If there has been any change in the director(s) from that of the records held with the Bank, please provide a certified true copy of the relevant board resolution supporting the change, together with such other supporting documentation. 如更改於本行戶口紀錄的董事, 請提供董事會決議及相關證明文件的真確副本。		
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Date of Birth 出生日期	_____ _____ _____ _____ _____ _____ Day 日 Month 月 Year 年	Date of Appointment 委任日期	_____ _____ _____ _____ _____ _____ Day 日 Month 月 Year 年
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D. Details of Beneficial Owners of the Company 公司實益擁有人資料

<p>Note 注意</p>	<p>☛ 1. In relation to a corporation (if fulfils any of the following criteria): means an individual who, 就法團而言指符合以下任何一項說明的個人：</p> <ul style="list-style-type: none"> - owns or controls, directly or indirectly, including through a trust or bearer share holding, not less than 10% of the issued share capital of the corporation; or 直接或間接地擁有或控制（包括透過信託或持票人股份持有）該法團已發行股本的不少於 10%；或 - is directly or indirectly, entitled to exercise or control the exercise of not less than 10% of the voting rights at general meetings of the corporation; or 直接或間接地有權行使在該法團的成員大會上的投票權的不少於 10%；或支配該比重的投票權的行使；或 - exercises ultimate control over the management of the corporation; or 行使對該法團的管理最終的控制權；或 - if the corporation is acting on behalf of another person, means the other person. (如該法團是代表另一人行事) 指該另一人。 <p>2. In relation to a partnership (if fulfils any of the following criteria): means an individual who, 就合夥而言指符合以下任何一項說明的個人：</p> <ul style="list-style-type: none"> - is entitled to or controls, directly or indirectly, not less than 10% share of the capital or profits of the partnership; or 直接或間接地有權攤分或控制該合夥的資本或利潤的不少於 10%；或 - is directly or indirectly, entitled to exercise or control the exercise of not less than 10% of the voting rights in the partnership; or 直接或間接地有權行使在該合夥的投票權的不少於 10%；或支配該比重的投票權的行使；或 - exercises ultimate control over the management of the partnership; or 行使對該合夥的管理最終的控制權；或 - if the partnership is acting on behalf of another person, means the other person. (如該合夥是代表另一人行事) 指該另一人。 <p>3. In relation to a trust (if fulfils any of the following criteria): means an individual who, 就信託而言指符合以下任何一項說明的個人：</p> <ul style="list-style-type: none"> - is entitled to a vested interest in not less than 10% of the capital of the trust property, whether the interest is in possession or in the remainder or reversion and whether it is defeasible or not; or 有權享有信託財產的資本的既得權益的不少於 10% 的任何人，而不論該人是享有該權益的管有權、剩餘權或復歸權，亦不論該權益是否可予廢除；或 - the settler of the trust; or 該信託的財產授予人；或 - a protector or enforcer of the trust; or 該信託的保護人或執行人；或 - an individual who has ultimate control over the trust. 對該信託擁有最終的控制權的個人。 <p>4. In relation to a person other than (1) to (3) (if fulfils any of the following criteria): means an individual who, 就不屬 (1) 至 (3) 段所指的人而言指符合以下任何一項說明的個人：</p> <ul style="list-style-type: none"> - ultimately owns or controls the persons; or 最終擁有或控制該人的任何人；或 - if the person is acting on behalf of another person, mean the other person. (如該人是代表另一人行事) 指該另一人。 											
<p>Number of Beneficial Owners 實益擁有人的人數</p>												
<p>1. Full Name 全名</p>			<p>Date of Birth 出生日期</p>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 25%; text-align: center;"> _ _ _ </td> <td style="width: 25%; text-align: center;"> _ _ _ </td> <td style="width: 25%; text-align: center;"> _ _ _ </td> <td style="width: 25%; text-align: center;"> _ _ _ </td> </tr> <tr> <td style="text-align: center;">Day 日</td> <td style="text-align: center;">Month 月</td> <td style="text-align: center;">Year 年</td> <td></td> </tr> </table>	_ _ _	_ _ _	_ _ _	_ _ _	Day 日	Month 月	Year 年	
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<p>Identification Document Type 身分證明文件種類</p>	<input type="checkbox"/> HK Identity Card (Permanent <input type="checkbox"/> Yes <input type="checkbox"/> No) 香港身分證 (永久 是 否) <input type="checkbox"/> Passport <input type="checkbox"/> Other: 護照 其他：	<p>Identification Document Number 身分證明文件號碼</p>										
<p>Ownership 所持股份 (%)</p>		<p>Voting Rights 投票權 (%)</p>	<p>Exercise ultimate control over management 行使管理最終的控制權</p>	<input type="checkbox"/> Yes 是 <input type="checkbox"/> No 否								
<p>Initial Sources of Wealth or Income 初次財富或收入的來源</p>	<p>(please list out the initial economic activities that contribute to your wealth, e.g. earning from work, earning from business interest, inheritance 請列出您的初次財富來源，如從工作收入，從商業利益收入，繼承)</p>											
<p>Ongoing Sources of Wealth or Income 持續財富或收入的來源</p>	<p>(please list out the ongoing economic activities that contribute to your wealth or income, e.g. earning from work, earning from business interest 請列出您的財富或收入來源，如從工作收入，從商業利益收入)</p>											
<p>Occupation/Nature of Business 職業 / 行業性質</p>	<p>(please specify type of business/industry and title 請列明公司的商業 / 行業性質和職位)</p>											
<p>Complete and tick confirming documents of proof enclosed (for individuals only) 在已附上的證明文件旁邊加剔號並填寫有關資料 (只適用於個人)</p>	<input type="checkbox"/> Nationality 國籍 _____ <input type="checkbox"/> Residential Address 住宅地址 _____ <input type="checkbox"/> Permanent Address (if different from residential address) 永久住址 (如與住宅地址不同) _____											

D. Details of Beneficial Owners of the Company (Continued) 公司實益擁有人資料 (續)

2. Full Name 全名			Date of Birth 出生日期 _____ Day 日 Month 月 Year 年	
Identification Document Type 身分證明文件種類	<input type="checkbox"/> HK Identity Card (Permanent 香港身分證 (永久 <input type="checkbox"/> Passport 護照 <input type="checkbox"/> Other: 其他:		Identification Document Number 身分證明文件號碼	
Ownership 所持股份 (%)		Voting Rights 投票權 (%)	Exercise ultimate control over management 行使管理最終的控制權	<input type="checkbox"/> Yes 是 <input type="checkbox"/> No 否
Initial Sources of Wealth or Income 初次財富或收入的來源	<i>(please list out the initial economic activities that contribute to your wealth, e.g. earning from work, earning from business interest, inheritance 請列出您的初次財富來源, 如從工作收入, 從商業利益收入, 繼承)</i>			
Ongoing Sources of Wealth or Income 持續財富或收入的來源	<i>(please list out the ongoing economic activities that contribute to your wealth or income, e.g. earning from work, earning from business interest 請列出您的財富或收入來源, 如從工作收入, 從商業利益收入)</i>			
Occupation/Nature of Business 職業 / 行業性質	<i>(please specify type of business/industry and title 請列明公司的商業 / 行業性質和職位)</i>			
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Initial Sources of Wealth or Income 初次財富或收入的來源	<i>(please list out the initial economic activities that contribute to your wealth, e.g. earning from work, earning from business interest, inheritance 請列出您的初次財富來源, 如從工作收入, 從商業利益收入, 繼承)</i>			
Ongoing Sources of Wealth or Income 持續財富或收入的來源	<i>(please list out the ongoing economic activities that contribute to your wealth or income, e.g. earning from work, earning from business interest 請列出您的財富或收入來源, 如從工作收入, 從商業利益收入)</i>			
Occupation/Nature of Business 職業 / 行業性質	<i>(please specify type of business/industry and title 請列明公司的商業 / 行業性質和職位)</i>			
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D. Details of Beneficial Owners of the Company (Continued) 公司實益擁有人資料 (續)

4. Full Name 全名			Date of Birth 出生日期	<table border="1"> <tr> <td>Day 日</td> <td>Month 月</td> <td>Year 年</td> </tr> </table>	Day 日	Month 月	Year 年
Day 日	Month 月	Year 年					
Identification Document Type 身分證明文件種類	<input type="checkbox"/> HK Identity Card (Permanent 香港身分證 (永久 <input type="checkbox"/> Yes <input type="checkbox"/> No) 是 否 <input type="checkbox"/> Passport 護照 <input type="checkbox"/> Other: 其他:		Identification Document Number 身分證明文件號碼				
Ownership 所持股份 (%)	Voting Rights 投票權 (%)		Exercise ultimate control over management 行使管理最終的控制權	<input type="checkbox"/> Yes 是 <input type="checkbox"/> No 否			
Initial Sources of Wealth or Income 初次財富或收入的來源	(please list out the initial economic activities that contribute to your wealth, e.g. earning from work, earning from business interest, inheritance 請列出您的初次財富來源, 如從工作收入, 從商業利益收入, 繼承)						
Ongoing Sources of Wealth or Income 持續財富或收入的來源	(please list out the ongoing economic activities that contribute to your wealth or income, e.g. earning from work, earning from business interest 請列出您的財富或收入來源, 如從工作收入, 從商業利益收入)						
Occupation/Nature of Business 職業 / 行業性質	(please specify type of business/industry and title 請列明公司的商業 / 行業性質和職位)						
Complete and tick confirming documents of proof enclosed (for individuals only) 在已附上的證明文件旁邊加剔號並填寫有關資料 (只適用於個人)	<input type="checkbox"/> Nationality 國籍 _____ <input type="checkbox"/> Residential Address 住宅地址 _____ <input type="checkbox"/> Permanent Address (if different from residential address) 永久住址 (如與住宅地址不同) _____						

E. Details of Current Principal Shareholders (i.e. companies/individuals with 10% or more of the shares/ voting rights of the Company, of which none are held in the form of bearer shares)

現任主要股東資料 (即是有權行使或控制行使一成或以上的公司投票權的股東)

Note 注意	If there has been any change in the principal shareholder(s) from that of the records held with the Bank, please provide a certified true copy of the supporting document(s). 如更改於本行戶口紀錄的主要股東, 請提供相關證明文件的真確副本。		
1. Full Name 全名			
Ownership 所持股份 (%)		Voting Rights 投票權 (%)	
2. Full Name 全名			
Ownership 所持股份 (%)		Voting Rights 投票權 (%)	
3. Full Name 全名			
Ownership 所持股份 (%)		Voting Rights 投票權 (%)	
4. Full Name 全名			
Ownership 所持股份 (%)		Voting Rights 投票權 (%)	

F. Details of ✕ Authorised Signatories ✕ 授權簽署人資料

Note 注意	✕ If there has been any change in the authorised signatory(ies) from that of the records held with the Bank, please provide a certified true copy of the relevant board resolution supporting the change, together with such other supporting documentation. 如更改於本行戶口紀錄的授權簽署人，請提供董事會決議及相關證明文件的真確副本。		
1. Full Name 全名		Date of Birth 出生日期 _____ Day 日 Month 月 Year 年	
Identification Document Type 身分證明文件種類	<input type="checkbox"/> HK Identity Card (Permanent <input type="checkbox"/> Yes <input type="checkbox"/> No) 香港身分證 (永久 是 否) <input type="checkbox"/> Passport <input type="checkbox"/> Other: 護照 其他：	Identification Document Number 身分證明文件號碼 _____	
Complete and tick confirming documents of proof enclosed (for individuals only) 在已附上的證明文件旁邊加剔號並填寫有關資料 (只適用於個人)	<input type="checkbox"/> Nationality 國籍 _____ <input type="checkbox"/> Residential Address 住宅地址 _____ <input type="checkbox"/> Permanent Address (if different from residential address) 永久住址 (如與住宅地址不同) _____		
2. Full Name 全名		Date of Birth 出生日期 _____ Day 日 Month 月 Year 年	
Identification Document Type 身分證明文件種類	<input type="checkbox"/> HK Identity Card (Permanent <input type="checkbox"/> Yes <input type="checkbox"/> No) 香港身分證 (永久 是 否) <input type="checkbox"/> Passport <input type="checkbox"/> Other: 護照 其他：	Identification Document Number 身分證明文件號碼 _____	
Complete and tick confirming documents of proof enclosed (for individuals only) 在已附上的證明文件旁邊加剔號並填寫有關資料 (只適用於個人)	<input type="checkbox"/> Nationality 國籍 _____ <input type="checkbox"/> Residential Address 住宅地址 _____ <input type="checkbox"/> Permanent Address (if different from residential address) 永久住址 (如與住宅地址不同) _____		
3. Full Name 全名		Date of Birth 出生日期 _____ Day 日 Month 月 Year 年	
Identification Document Type 身分證明文件種類	<input type="checkbox"/> HK Identity Card (Permanent <input type="checkbox"/> Yes <input type="checkbox"/> No) 香港身分證 (永久 是 否) <input type="checkbox"/> Passport <input type="checkbox"/> Other: 護照 其他：	Identification Document Number 身分證明文件號碼 _____	
Complete and tick confirming documents of proof enclosed (for individuals only) 在已附上的證明文件旁邊加剔號並填寫有關資料 (只適用於個人)	<input type="checkbox"/> Nationality 國籍 _____ <input type="checkbox"/> Residential Address 住宅地址 _____ <input type="checkbox"/> Permanent Address (if different from residential address) 永久住址 (如與住宅地址不同) _____		
4. Full Name 全名		Date of Birth 出生日期 _____ Day 日 Month 月 Year 年	
Identification Document Type 身分證明文件種類	<input type="checkbox"/> HK Identity Card (Permanent <input type="checkbox"/> Yes <input type="checkbox"/> No) 香港身分證 (永久 是 否) <input type="checkbox"/> Passport <input type="checkbox"/> Other: 護照 其他：	Identification Document Number 身分證明文件號碼 _____	
Complete and tick confirming documents of proof enclosed (for individuals only) 在已附上的證明文件旁邊加剔號並填寫有關資料 (只適用於個人)	<input type="checkbox"/> Nationality 國籍 _____ <input type="checkbox"/> Residential Address 住宅地址 _____ <input type="checkbox"/> Permanent Address (if different from residential address) 永久住址 (如與住宅地址不同) _____		

G. Details of Current Secretary 現任秘書資料

Full Name 全名				
Date of Appointment 委任日期	<table border="1"><tr><td>Day 日</td><td>Month 月</td><td>Year 年</td></tr></table>	Day 日	Month 月	Year 年
Day 日	Month 月	Year 年		

H. Declaration 聲明

1. I/We hereby confirm that the information given above is correct and complete and authorise the Bank to verify the same from any source it may deem fit. I/We also undertake to notify the Bank immediately of any changes to the above information.
本人(等)證實上述資料乃屬正確及完整,並授權貴行可向任何方面證實,本人(等)亦同意如上述資料有任何改變,會立刻通知貴行。

2. I/We hereby confirm that: 本人(等)確認:

- a. the place of incorporation of the Company is as described above in Section A. 本公司的註冊國家為 A 部分申報的國家。
- b. the business address of the Company is as described above in Section A. 本公司的商業地址為 A 部分申報的地址。
- c. the company's registered office address in the place of incorporation is as described above in Section A.
本公司的註冊公司地址(於註冊國家)為 A 部分申報的地址。
- d. the company has not been, or is not in the process of being, dissolved, struck off, wound up or terminated.
本公司並非已解散、被除名、清盤或結束營業、或正在進行解散、除名、清盤或結束營業。
- e. the current director(s) of the Company are as described above in Section C. 本公司的現任董事已於 c 部分申報。
- f. the current secretary of the Company is as described above in Section G. 本公司的現任秘書已於 G 部分申報。
- g. the current principal shareholder(s) (i.e. companies/individuals with 10% or more of the shares/voting rights of the Company, of which none are held in the form of bearer shares) are as described above in Section E.
本公司的現任主要股東(即是有權行使或控制行使一成或以上的公司投票權的股東)已於 E 部分申報。
- h. the current beneficial owner(s) are as described above in Section D. 本公司的現任實益擁有人已於 D 部分申報。

X

Signature of Director(s) 董事簽署

Name 姓名: _____ Date 日期: _____

I. Certification by Certified Public Accountant / Lawyer / Notary Public / Hong Kong Institute of Chartered Secretaries (HKICS) Member (Not Applicable to Hong Kong Limited Company having a share capital) 證明書(由執業會計師/律師/公證人/香港特許秘書公會會員填寫) (不適用於擁有股本的香港有限公司)

I/We 本人(等)	(individual name of the Certified Public Accountant / Lawyer / Notary Public / Hong Kong Institute of Chartered Secretaries (HKICS) member 執業會計師/律師/公證人/香港特許秘書公會會員的個人名稱)	of 地址為
(address 地址)		, being a *Certified Public , 屬
Accountant / Lawyer / Notary Public / Member of the Hong Kong Institute of Chartered Secretaries of		(country 國家)

certify that the information contained in the following is correct and accurate as at the date hereof.
的*執業會計師/律師/公證人/香港特許秘書公會會員,茲證明下列文件內的資料乃屬正確及完整。

Director/Beneficial Owner Declaration (i.e. information contained in this form) 董事/實益擁有人證明書(即此表格內的資料)

Organisation Chart certified by director (as attached) 經董事確認的公司架構圖(附上的)

X

Signature 簽署 _____ Date 日期: _____

J. Important Note 重要通知

General 一般性：

1. Please provide the following documents for the directors, principal shareholders, beneficial owners and authorised signatories of the company:

各董事、主要股東、公司實益擁有人及授權簽署人士請提供下列文件副本：

- Identification document 身分證文件
- Nationality proof e.g. passport copies (if you are not a Hong Kong Permanent Identity Card holder)
國籍證明，例如護照副本（如您非持有香港永久性居民身分證）
- Residential address proof (Attention: P.O. box address/care of other person or company address/statement sent through e-mail or downloaded from website are not acceptable.) 住宅地址證明（注意：郵箱地址 / 他人代收或公司地址 / 經電郵傳遞或網上下載的月結單恕不接受。）
- Permanent address proof (if you are not a Hong Kong Permanent Identity Card holder and have a permanent address different from residential address) 永久住址證明（如您非持有香港永久性居民身分證及擁有永久住址但與住宅地址不同）

Copies of all documents submitted should be **true copies certified** by: 所有提交本行的文件副本必須經由下列人士簽證為真確的副本：

- A certified public accountant / lawyer / notary public in a Financial Action Task Force (FATF) member / equivalent jurisdiction acceptable to HSBC; or 本行認可的打擊清洗黑錢財務行動特別組織成員或相應國家的執業會計師 / 律師 / 公證人；或
- Hong Kong Institute of Chartered Secretaries (HKICS) member; or 香港特許秘書公會會員；或
- HSBC branch officer. 任何滙豐分行主管。

Recommended Format: Certifier must sign and date the copy document (printing his/her name clearly in capitals underneath) and clearly indicate his/her position on it. Certifier must state that it is a true copy of the original (or words to similar effect) and the number of pages to be recorded.

建議格式：簽證人必須在文件副本上簽署及註明日期（在簽名下面清楚地以正楷註明全名），並清楚地表明他 / 她的職位。簽證人必須證明這是真確的副本（或相近意思的句子）及註明頁數。

2. Current FATF member jurisdictions: Argentina, Australia, Austria, Belgium, Brazil, Canada, China, Denmark, Finland, France, Germany, Greece, Hong Kong China, Iceland, India, Ireland, Italy, Japan, Luxembourg, Kingdom of the Netherlands, New Zealand, Norway, Portugal, Republic of Korea (i.e. South Korea), Singapore, South Africa, Spain, Sweden, Switzerland, United Kingdom, United States of America.

現任打擊清洗黑錢財務行動特別組織成員國或同等地區：阿根廷、澳洲、奧地利、比利時、巴西、加拿大、中國、丹麥、芬蘭、法國、德國、希臘、中國香港、冰島、印度、愛爾蘭、意大利、日本、盧森堡、荷蘭、紐西蘭、挪威、葡萄牙、南韓、新加坡、南非、西班牙、瑞典、瑞士、英國、美國。

For Bank Use Only 銀行專用

Approval required as per assessment	<input type="checkbox"/> 6F		<input type="checkbox"/> Sanctioned Countries		<input type="checkbox"/> PEP	
	Prepared by	Signature		Name		
		Job Title		Telephone Number		
Date:		Branch/Department				
Approved by	Signature		Name			
			Job Title		Telephone Number	
	Date:		Branch/Department			